

RSVP TODAY

Los Angeles

Wednesday, March 4, 2015

3:00-5:00 PM

Beverly Wilshire in Beverly Hills
9500 Wilshire Boulevard

Orange County

Thursday, March 5, 2015

3:00-5:00 PM

Hyatt Regency Newport Beach
1107 Jamboree Road

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ATTORNEYS AT LAW LLP

Financial Advisors: Safeguard Your Career

Complimentary Seminar - RSVP to Register

Hosted by attorneys who are recognized leaders in the fields of securities arbitration & regulatory enforcement

Protect Your Future and Know Your Rights

- Avoid Pitfalls When Transitioning to a New Brokerage Firm
- Manage Promissory Notes and Transitional Compensation
- Maintain a Clean Industry Record
- Expunge Customer Complaints and Other Defamatory Information
- Respond to FINRA Enforcement Inquiries
- Communicate Appropriately With Clients

RSVP to marketing@ffslaw.com

Moderator



Dan Jamieson
Editor at Large
Financial Advisor
magazine

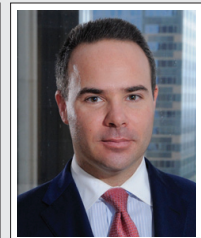
Panelists



Michael Blumenfeld
Partner
Chair of Litigation
Department



Sylvia Scott
Partner
Former SEC & FINRA
Enforcement Attorney



Robert J. Girard II
Partner
Securities &
Employment Litigator